



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Sector
\$183

In re Application of:

Compans et al.

: Group Art Unit: 1614

Serial No: 09/733,166

: Examiner: Not yet assigned

Filed: December 8, 2000

For: INDUCTION OF IMMUNOGLOBULIN
CLASS SWITCHING BY INACTIVATED
VIRAL VACCINE

RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NON PROVISIONAL APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed February 2, 2001, included herewith are:

Check in the amount of \$869.00 (\$804.00 filing fee; \$65.00 surcharge)
Copy of Formalities Letter (Notice to File Missing Parts)
Executed Inventors' Declaration
7 Sheets of replacement drawings
Request for Correction of Inventorship
Check for \$130.00
Request for Corrected Filing Receipt
Copy of incorrect Filing Receipt

If the enclosed amount is incorrect, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Donna M. Ferber
Reg. No. 33,878

GREENLEE, WINNER AND SULLIVAN, P.C.
5370 Manhattan Circle, Suite 201
Boulder, CO 80303
Telephone (303) 499-8080
Facsimile: (303) 499-8089
Email: winner@greenwin.com

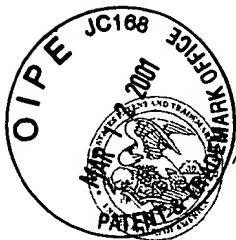
Attorney Docket No.: 96-99
bmk: March 8, 2001

CERTIFICATE OF MAILING

Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231

8 March 2001
Date

B. Kroge
B. Kroge



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/733,166	12/08/2000	Richard W. Compans	96-99

CONFIRMATION NO. 2363

FORMALITIES LETTER



OC000000005700645

Greenlee, Winner & Sullivan, P.C.
Suite 201
5370 Manhattan Circle
Boulder, CO 80303-4250

Date Mailed: 02/02/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$449.
 - \$369 for 41 total claims over 20.
 - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 869.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each

03/14/2001 BHABTEW 00000003 09733166
 355.00 OP
 369.00 OP
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 65.00 OP
 01 FC:201
 02 FC:203
 03 FC:202
 04 FC:204

sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature in black ink, consisting of stylized, overlapping loops and a long horizontal stroke extending to the right.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:

Compans et al.

: Group Art Unit: 1614

Serial No: 09/733,166

: Examiner: Not yet assigned

Filed: December 8, 2000

For: INDUCTION OF IMMUNOGLOBULIN
CLASS SWITCHING BY INACTIVATED
VIRAL VACCINE

REQUEST FOR CORRECTION OF INVENTORSHIP
PURSUANT TO 37 C.F.R. 1.48(f)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants respectfully request correction of an inadvertent typographical error in the spelling of the name of coinventor Zhiyi Sha at the time the above-identified patent application was filed. The response to the Notice to File Missing Parts submitted herewith includes an executed Inventors' Declaration which correctly recites the names of both coinventors of the present application.

This Request is accompanied by a check in the amount of \$130.00 as required by 37 C.F.R. 1.17(i) and 1.48. It is believed that the present submission does not require the payment of any additional fees under 37 C.F.R. 1.16-1.17. If the amount submitted is incorrect, however, please charge any deficiency or credit any overpayment to Deposit Account No. 07-1969.

Respectfully submitted,

Donna M. Ferber
Reg. No. 33,878

03/14/2001 BHABTEW 00000003 09733166

05 FC:122

130.00 OP

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Attorney Docket No.:
bmk: February 16, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C., 20231

8 March 2001
Date

B. Kroge
B. Kroge



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Compans et al.

: Group Art Unit: 1614

Serial No. 09/733,166

: Examiner: Not yet assigned

Filed: December 8, 2000

For: INDUCTION OF IMMUNOGLOBULIN
CLASS SWITCHING BY INACTIVATED
VIRAL VACCINE

REQUEST FOR CORRECTED FILING RECEIPT

Asst. Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants hereby advise the Patent and Trademark Office of an error in the filing receipt (copy enclosed) for the above-referenced application. The filing receipt incorrectly spells the name of the second inventor as Zhiyl Sha. The correct spelling is Zhiyi Sha.

Please prepare a corrected filing receipt which properly states this name.

Respectfully submitted,

Donna M. Ferber
Reg. No. 33,878

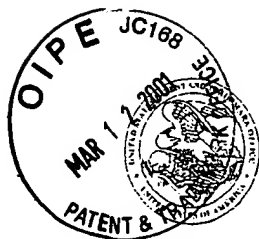
GREENLEE, WINNER AND SULLIVAN, P.C.
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Email: winner@greenwin.com

Attorney Docket No.: 96-99
bmk: February 22, 2001

CERTIFICATE OF MAILING

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8 March 2001 B. Kroger
Date B. Kroger



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/733,166	12/08/2000	1614	0.00	96-99	8	61	5

CONFIRMATION NO. 2363

FILING RECEIPT



OC000000005700644

Greenlee, Winner & Sullivan, P.C.
Suite 201
5370 Manhattan Circle
Boulder, CO 80303-4250

FEB 5

Date Mailed: 02/02/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard W. Compans, Atlanta, GA;
Zhiyi Sha, Atlanta, GA;
Zhiyi

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/169,813 12/08/1999

Foreign Applications

If Required, Foreign Filing License Granted 01/22/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Induction of immunoglobulin class switching by inactivated viral vaccine

DOCKETED

FEB - 6 2001

Greenlee, Winner, Sullivan

ENT'D FEB - 6 2001